Strichartz Estimates for the Schrödinger Equation

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ABSTRACT

The objective of this paper is to report on recent progress on Strichartz estimates for the Schrödinger equation and to present the state-of-the-art. These estimates have been obtained in Lebesgue spaces, Sobolev spaces and, recently, in Wiener amalgam and modulation spaces. We present and compare the different technicalities. Then, we illustrate applications to well-posedness.

RESUMEN

El objetivo de este trabajo es reportar los progresos recientes sobre estimativas de Strichartz para la ecuación de Schrödinger y presentar el estado de arte. Estas estimativas han sido obtenidas en espacios de Lebesgue, espacios de Sobolev, y recientemente, en espacios de Wiener amalgamados y de modulación. Presentamos y comparamos los diferentes aspectos técnicos envueltos. Ilustramos los resultados con aplicaciones a buena colocación.

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1 Introduction

In this note, we focus on the Cauchy problem for Schrödinger equations. To begin with, the Cauchy problem for the free Schrödinger equation reads as follows

$$\begin{cases} i\partial_t u + \Delta u = 0\\ u(0, x) = u_0(x), \end{cases}$$
 (1)

with $t \in \mathbb{R}$ and $x \in \mathbb{R}^d$, $d \ge 1$. In terms of the Fourier transform, we can write the solution as follows

$$u(t,x) = \left(e^{it\Delta}u_0\right)(x) := \int_{\mathbb{R}^d} e^{2\pi i x \cdot \xi} e^{-4\pi^2 it|\xi|^2} \widehat{u_0}(\xi) d\xi, \tag{2}$$

where the Fourier multiplier $e^{it\Delta}$ is known as *Schrödinger propagator*. The corresponding inhomogeneous equation is

$$\begin{cases} i\partial_t u + \Delta u = F(t, x) \\ u(0, x) = u_0(x), \end{cases}$$
 (3)

with t > 0 and $x \in \mathbb{R}^d$, $d \ge 1$. By Duhamel's principle and (2), the integral version of (3) has the form

$$u(t,x) = e^{it\Delta}u_0(\cdot) + \int_0^t e^{i(t-s)\Delta}F(s,\cdot)ds. \tag{4}$$

The study of space-time integrability properties of the solution to (2) and (4) has been pursued by many authors in the last thirty years. The matter of fact is given by the Strichartz estimates, that have become a fundamental and amazing tool for the study of PDE's. They have been studied in the framework of different function/distribution spaces, like Lebesgue, Sobolev, Wiener amalgam and modulation spaces and have found applications to well-posedness and scattering theory for nonlinear Schrödinger equations [3, 8, 9, 11, 19, 24, 26, 36, 38, 39, 49].

In this paper we exhibit these problems. First, in Section 3, we introduce the dispersive estimates and show how can be carried out for these different spaces. The classical L^p dispersive estimates read as follows

$$\|e^{it\Delta}u_0\|_{L^r_x} \lesssim |t|^{-d(\frac{1}{2}-\frac{1}{r})}\|u_0\|_{L^{r'}_x}, \quad 2 \leq r \leq \infty, \quad \frac{1}{r} + \frac{1}{r'} = 1.$$

Section 4 is devoted to the study of Strichartz estimates. The nature of these estimates is highlighted and the results among different kinds of spaces are compared with each others. Historically, the L^p spaces [19, 24, 26, 39, 49] were the first to be looked at. The celebrated homogeneous Strichartz estimates for the solution $u(t,x) = (e^{it\Delta}u_0)(x)$ read

$$\|e^{it\Delta}u_0\|_{L_t^q L_x^r} \lesssim \|u_0\|_{L_x^2},\tag{5}$$



for $q \ge 2$, $r \ge 2$, with 2/q + d/r = d/2, $(q,r,d) \ne (2,\infty,2)$, i.e., for (q,r) *Schrödinger admissible* (see Definition 4.1). Here, as usual, we set

$$||F||_{L^q_t L^r_x} = \left(\int ||F(t,\cdot)||_{L^r_x}^q dt\right)^{1/q}.$$

In the sequel, the estimates for Sobolev spaces were essentially derived from the Lebesgue ones. Recently, several authors ([1, 2, 6, 7, 8, 44, 45]) have turned their attention to fixed time and space-time estimates for the Schrödinger propagator between spaces widely used in time-frequency analysis, known as Wiener amalgam spaces and modulation spaces. The first appearance of amalgam spaces can be traced to Wiener in his development of the theory of generalized harmonic analysis [46, 47, 48] (see [22] for more details). In this setting, Cordero and Nicola [6, 7, 8] have discovered that the pattern to obtain dispersive and Strichartz estimates is similar to that of Lebesgue spaces. The main idea is to show that the fundamental solution K_t (see (20) below) lies in the Wiener amalgam space $W(L^p, L^q)$ (see Section 2 for the definition) which generalizes the classical L^p space and, consequently, provides a different information between the local and global behavior of the solutions. Beside the similar arguments, we point out also some differences, mainly in proving the sharpness of dispersive estimates and Strichartz estimates. Indeed, dilation arguments in Wiener amalgam and modulation spaces don't work as in the classical L^p spaces.

Modulation spaces were introduced by Feitchinger in 1980 and then were also redefined by Wang [44] using isometric decompositions. The two different definitions allow to look at the problem in two different manners. As a result, in [45], a beautiful use of interpolation theory on modulation spaces allows to combine the estimates obtained by means of the classical definition in [1, 2] and the isometric definition in [44, 45], to obtain more general fixed time estimates in this framework. In order to control the growth of singularity at t = 0, we usually have the restriction $d(1/2 - 1/p) \le 1$; cf. [5, 26]. By using the isometric decomposition in the frequency space, as in [44, 45], one can remove the singularity at t = 0 and preserve the decay at $t = \infty$ in certain modulation spaces.

The Strichartz estimates can be applied, e.g., to the well-posedness of non-linear Schrödinger equations or of linear Schrödinger equations with time-dependent potentials. We shall show examples in the last Section 5.

Notation. We define $|x|^2 = x \cdot x$, for $x \in \mathbb{R}^d$, where $x \cdot y = xy$ is the inner product on \mathbb{R}^d . The space of smooth functions with compact support is denoted by $\mathscr{C}_0^{\infty}(\mathbb{R}^d)$, the Schwartz class by $\mathscr{S}(\mathbb{R}^d)$, the space of tempered distributions by $\mathscr{S}'(\mathbb{R}^d)$. The Fourier transform is normalized to be $\hat{f}(\xi) = \mathscr{F}f(\xi) = \int f(t)e^{-2\pi it\xi}dt$. Translation and modulation operators (time and frequency shifts) are defined, respectively, by

$$T_x f(t) = f(t-x)$$
 and $M_{\xi} f(t) = e^{2\pi i \xi t} f(t)$.



We have the formulas $(T_x f) = M_{-x} \hat{f}$, $(M_\xi f) = T_\xi \hat{f}$, and $M_\xi T_x = e^{2\pi i x \xi} T_x M_\xi$. The notation $A \lesssim B$ means $A \leq cB$ for a suitable constant c > 0, whereas $A \asymp B$ means $c^{-1}A \leq B \leq cA$, for some $c \geq 1$. The symbol $B_1 \hookrightarrow B_2$ denotes the continuous embedding of the linear space B_1 into B_2 .

2 Function Spaces and Preliminaries

In this section we present the function/distribution spaces we work with, and the properties used in our study.

2.1 Lorentz spaces

([34, 35]). We recall that the Lorentz space $L^{p,q}$ on \mathbb{R}^d is defined as the space of tempered distributions f such that

$$||f||_{pq}^* = \left(\frac{q}{p} \int_0^\infty [t^{1/p} f^*(t)]^q \frac{dt}{t}\right)^{1/q} < \infty,$$

when $1 \le p < \infty$, $1 \le q < \infty$, and

$$||f||_{pq}^* = \sup_{t>0} t^{1/p} f^*(t) < \infty$$

when $1 \le p \le \infty$, $q = \infty$. Here, as usual, $\lambda(s) = |\{|f| > s\}|$ denotes the distribution function of f and $f^*(t) = \inf\{s : \lambda(s) \le t\}$.

One has $L^{p,q_1} \hookrightarrow L^{p,q_2}$ if $q_1 \leq q_2$, and $L^{p,p} = L^p$. Moreover, for $1 and <math>1 \leq q \leq \infty$, $L^{p,q}$ is a normed space and its norm $\|\cdot\|_{L^{p,q}}$ is equivalent to the above quasi-norm $\|\cdot\|_{pq}^*$.

The function $|x|^{-\alpha}$ lives in $L^{d/\alpha,\infty}$, $0 < \alpha < d$ but observe that this function doesn't live in any L^p , $1 \le p \le \infty$. We now recall the following classical Hardy-Littlewood-Sobolev fractional integration theorem (see e.g. [33, Theorem 1, pag 119] and [34]), which will be used in the sequel

Proposition 2.1. Let $d \ge 1$, $0 < \alpha < d$ and 1 such that

$$\frac{1}{q} = \frac{1}{p} - \frac{d - \alpha}{d}.\tag{6}$$

Then the following estimate

$$|||\cdot|^{-\alpha} * f||_q \lesssim ||f||_p \tag{7}$$

holds for all $f \in L^p(\mathbb{R}^d)$.



Potential and Sobolev spaces. For $s \in \mathbb{R}$, we define the Fourier multipliers $\langle \Delta \rangle^s f = \mathscr{F}^{-1}((1+|\cdot|^2)^{s/2}\hat{f})$, and $|\Delta|^s f = \mathscr{F}^{-1}(|\cdot|^s\hat{f})$. Then, for $1 \le p \le \infty$, the potential space [4] is defined by

$$W_s^p = \{ f \in \mathcal{S}', \langle \Delta \rangle^s f \in L^p \}$$

with norm $\|f\|_{W^p_s} = \|\langle \Delta \rangle^s f\|_{L^p}$. The homogeneous potential space [4] is defined by

$$\dot{W}_{s}^{p} = \{ f \in \mathcal{S}', |\langle \Delta \rangle|^{s} f \in L^{p} \}$$

with norm $||f||_{\dot{W}^p_s} = |||\Delta|^s f||_{L^p}$.

For p=2 the previous spaces are called Sobolev spaces H_s^p and homogeneous Sobolev spaces $\dot{H_s^p}$, respectively.

2.2 Wiener amalgam spaces

([12, 14, 15, 16, 17]). Let $g \in \mathscr{C}_0^{\infty}$ be a test function that satisfies $\|g\|_{L^2} = 1$. We will refer to g as a window function. For $1 \le p \le \infty$, recall the $\mathscr{F}L^p$ spaces, defined by

$$\mathscr{F}L^p(\mathbb{R}^d) = \{ f \in \mathscr{S}'(\mathbb{R}^d) : \exists h \in L^p(\mathbb{R}^d), \hat{h} = f \};$$

they are Banach spaces equipped with the norm

$$||f||_{\mathscr{F}L^p} = ||h||_{L^p}, \quad \text{with } \hat{h} = f.$$

In the same way, for $1 , <math>1 \le q \le \infty$, the Banach spaces $\mathscr{F}L^{p,q}$ are defined by

$$\mathscr{F}L^{p,q}(\mathbb{R}^d) = \{ f \in \mathscr{S}'(\mathbb{R}^d) : \exists h \in L^{p,q}(\mathbb{R}^d), \hat{h} = f \};$$

equipped with the norm

$$||f||_{\mathscr{F}L^{p,q}} = ||h||_{L^{p,q}}, \text{ with } \hat{h} = f.$$

Let B one of the following Banach spaces: $L^p, \mathscr{F}L^p, \ 1 \leq p \leq \infty, \ \mathscr{F}L^{p,q}, \ 1 valued in a Banach space, or also spaces obtained from these by real or complex interpolation. Let <math>C$ be the L^p space, $1 \leq p \leq \infty$, scalar-valued. For any given function f which is locally in G (i.e. G is G in G in

The Wiener amalgam space W(B,C) with local component B and global component C is defined as the space of all functions f locally in B such that $f_B \in C$. Endowed with the norm $||f||_{W(B,C)} = ||f_B||_C$, W(B,C) is a Banach space. Moreover, different choices of $g \in \mathscr{C}_0^{\infty}$ generate the same space and yield equivalent norms.

If $B = \mathcal{F}L^1$ (the Fourier algebra), the space of admissible windows for the Wiener amalgam spaces $W(\mathcal{F}L^1,C)$ can be enlarged to the so-called Feichtinger algebra $W(\mathcal{F}L^1,L^1)$. Recall that the Schwartz class $\mathcal S$ is dense in $W(\mathcal{F}L^1,L^1)$.



We use the following definition of mixed Wiener amalgam norms. Given a measurable function F of the two variables (t,x) we set

$$||F||_{W(L^{q_1},L^{q_2})_tW(\mathscr{F}L^{r_1},L^{r_2})_x} = |||F(t,\cdot)||_{W(\mathscr{F}L^{r_1},L^{r_2})_x}||_{W(L^{q_1},L^{q_2})_t}.$$

Observe that [6]

$$\|F\|_{W(L^{q_1},L^{q_2})_tW(\mathcal{F}L^{r_1},L^{r_2})_x} = \|F\|_{W\left[L^{q_1}_t(W(\mathcal{F}L^{r_1}_x,L^{r_2}_x)),L^{q_2}_t\right]}.$$

The following properties of Wiener amalgam spaces will be frequently used in the sequel.

Lemma 2.1. Let B_i , C_i , i = 1,2,3, be Banach spaces such that $W(B_i,C_i)$ are well defined. Then,

(i) Convolution. If $B_1 * B_2 \hookrightarrow B_3$ and $C_1 * C_2 \hookrightarrow C_3$, we have

$$W(B_1, C_1) * W(B_2, C_2) \hookrightarrow W(B_3, C_3).$$
 (8)

In particular, for every $1 \le p, q \le \infty$, we have

$$||f * u||_{W(\mathscr{F}L^{p}, L^{q})} \le ||f||_{W(\mathscr{F}L^{\infty}, L^{1})} ||u||_{W(\mathscr{F}L^{p}, L^{q})}.$$
(9)

(ii) Inclusions. If $B_1 \hookrightarrow B_2$ and $C_1 \hookrightarrow C_2$,

$$W(B_1, C_1) \hookrightarrow W(B_2, C_2)$$
.

Moreover, the inclusion of B_1 into B_2 need only hold "locally" and the inclusion of C_1 into C_2 "globally". In particular, for $1 \le p_i, q_i \le \infty$, i = 1, 2, we have

$$p_1 \ge p_2 \text{ and } q_1 \le q_2 \Longrightarrow W(L^{p_1}, L^{q_1}) \hookrightarrow W(L^{p_2}, L^{q_2}). \tag{10}$$

(iii) Complex interpolation. For $0 < \theta < 1$, we have

$$[W(B_1, C_1), W(B_2, C_2)]_{[\theta]} = W([B_1, B_2]_{[\theta]}, [C_1, C_2]_{[\theta]}),$$

if C_1 or C_2 has absolutely continuous norm.

(iv) Duality. If B', C' are the topological dual spaces of the Banach spaces B, C respectively, and the space of test functions \mathscr{C}_0^{∞} is dense in both B and C, then

$$W(B,C)' = W(B',C').$$
 (11)

The proof of all these results can be found in ([12, 14, 15, 22]).

Finally, let us recall the following lemma [8, Lemma 6.1], that will be used in the last Section 5.



Lemma 2.2. Let $1 \le p, q, r \le \infty$. If

$$\frac{1}{p} + \frac{1}{q} = \frac{1}{r'},\tag{12}$$

then

$$W(\mathscr{F}L^{p'}, L^p)(\mathbb{R}^d) \cdot W(\mathscr{F}L^{q'}, L^q)(\mathbb{R}^d) \subset W(\mathscr{F}L^r, L^{r'})(\mathbb{R}^d) \tag{13}$$

with norm inequality $||fh||_{W(\mathscr{F}L^r,L^{r'})} \lesssim ||f||_{W(\mathscr{F}L^{p'},L^p)} ||h||_{W(\mathscr{F}L^{q'},L^q)}$.

2.3 Modulation spaces

([13, 21]). Let $g \in \mathcal{S}(\mathbb{R}^d)$ be a non-zero window function and consider the so-called short-time Fourier transform (STFT) $V_g f$ of a function/tempered distribution f with respect to the the window g:

$$V_g f(x,\xi) = \langle f, M_{\xi} T_x g \rangle = \int e^{-2\pi i \xi y} f(y) \overline{g(y-x)} dy,$$

i.e., the Fourier transform \mathscr{F} applied to $f\overline{T_xg}$.

For $s \in \mathbb{R}$, we consider the weight function $\langle x \rangle^s = (1 + |x|^2)^{s/2}, x \in \mathbb{R}^d$. If $1 \le p, q \le \infty$, $s \in \mathbb{R}$, the *modulation space* $\mathcal{M}_s^{p,q}(\mathbb{R}^d)$ is defined as the closure of the Schwartz class with respect to the norm

$$\|f\|_{\mathcal{M}^{p,q}_s} = \left(\int_{\mathbb{R}^d} \left(\int_{\mathbb{R}^d} |V_g f(x,\xi)|^p dx\right)^{q/p} \langle \xi \rangle^{sq} d\xi\right)^{1/q}$$

(with obvious modifications when $p = \infty$ or $q = \infty$).

Among the properties of modulation spaces, we record that they are Banach spaces whose definition is independent of the choice of the window $g \in \mathscr{S}(\mathbb{R}^d)$, $\mathscr{M}^{2,2} = L^2$, $(\mathscr{M}_s^{p,q})' = \mathscr{M}_{-s}^{p',q'}$, whenever $p,q < \infty$.

Another definition of these spaces uses the unite-cube decomposition of the frequency space, we address interested readers to [44].

Finally we recall the behaviour of modulation spaces with respect to complex interpolation (see [14, Corollary 2.3]).

Proposition 2.2. Let $1 \le p_1, p_2, q_1, q_2 \le \infty$, with $q_2 < \infty$. If T is a linear operator such that, for i = 1, 2,

$$||Tf||_{M^{p_i,q_i}} \leq A_i ||f||_{M^{p_i,q_i}} \quad \forall f \in M^{p_i,q_i},$$

then

$$||Tf||_{M^{p,q}} \le CA_1^{1-\theta}A_2^{\theta}||f||_{M^{p,q}} \quad \forall f \in M^{p,q},$$

where $1/p = (1-\theta)/p_1 + \theta/p_2$, $1/q = (1-\theta)/q_1 + \theta/q_2$, $0 < \theta < 1$ and C is independent of T.

We observe that definition and properties of modulation spaces refer to the case $p,q \ge 1$. For the quasi-Banach case 0 < p,q < 1 see, e.g., [2,44,45].



2.4 T^*T method

[19, 20] The T^*T method is an abstract tool of Harmonic Analysis, discovered by Tomas in 1975. This method allows to know the continuity of a linear operator T (and thus of its adjoint T^*), simply by the boundedness of the composition operator T^*T .

For any vector space D, we denote by D_a^* its algebraic dual, by $\mathcal{L}_a(D,X)$ the space of linear maps from D to some other vector space X, and by $\langle \varphi, f \rangle_D$ the pairing between D_a^* and D $(f \in D, \varphi \in D_a^*)$, taken to be linear in f and antilinear in g.

Lemma 2.3. Let \mathcal{H} be a Hilbert space, X a Banach space, X^* the dual of X, and D a vector space densely contained in X. Let $T \in \mathcal{L}_a(D,\mathcal{H})$ and $T^* \in \mathcal{L}_a(\mathcal{H},D_a^*)$ be its adjoint, defined by

$$\langle T^*h, f \rangle_D = \langle h, Tf \rangle, \quad \forall f \in D, \quad \forall h \in \mathcal{H},$$

where \langle , \rangle is the inner product in \mathcal{H} (antilinear in the first argument). Then the following three conditions are equivalent.

(1) There exists $a, 0 \le a < \infty$ such that for all $f \in D$

$$||Tf||_{\mathcal{H}} \le \alpha ||f||_X; \tag{14}$$

(2) Let $h \in \mathcal{H}$. Then T^*h can be extended to a continuous linear functional on X, and there exists $a, 0 \le a < \infty$, such that for all $h \in \mathcal{H}$

$$||T^*h||_{X^*} \le a||h||_{\mathscr{H}}.\tag{15}$$

(3) Let $f \in X$. Then T^*Tf can be extended to a continuous linear functional on X, and there exists $a, 0 \le a < \infty$, such that for all $f \in D$,

$$||T^*Tf||_{X^*} \le a^2 ||f||_X. \tag{16}$$

The constant a is the same in all the three cases. If one of (all) those conditions is (are) satisfied, the operators T and T^*T extend by continuity to bounded operators from X to \mathcal{H} and from X to X^* , respectively.

Proof. From the fact that D is densely contained in X, it follows that X^* is a subspace of D_a^* . (1) \Rightarrow (2). Let $h \in \mathcal{H}$. Then, for all $f \in D$

$$|\langle T^*h, f \rangle_D| = |\langle h, Tf \rangle| \le ||h||_{\mathcal{H}} ||Tf||_{\mathcal{H}} \le a ||h||_{\mathcal{H}} ||f||_X.$$

 $(2) \Rightarrow (1)$. Let $f \in D$. Then, for all $h \in \mathcal{H}$

$$|\langle h,Tf\rangle|=|\left\langle T^*h,f\right\rangle_D|\leq||T^*h||_{X^*}\,||f||_X\leq\alpha\,||h||_{\mathcal{H}}\,||f||_X$$



Clearly (1) and (2) imply (3), and therefore (1) or (2) implies (3). (3) \Rightarrow (1). Let $f \in D$. Then

$$||Tf||^2 = |\langle Tf, Tf \rangle| = |\langle T^*Tf, f \rangle_D| \le ||T^*Tf||_{X^*} ||f||_X \le a^2 ||f||_X^2.$$

Since D is a dense subspace of X, we see that T can be extended to a bounded linear functional from X to \mathcal{H} .

The following corollary is extremely useful.

Corollary 2.3. Let \mathcal{H}, \mathcal{D} and two triplets (X_i, T_i, a_i) , i = 1, 2, satisfy the conditions of Lemma 2.3. Then for all choices of $i, j = 1, 2, \mathcal{R}(T_i^*T_j) \subset X_i^*$ and for all $f \in D$,

$$||T_i^*T_jf||_{X_i^*} \le a_i a_j ||f||_{X_j}. \tag{17}$$

In particular, $T_i^*T_j$ extends by continuity to a bounded operator from X_j to X_i^* , and (17) holds for all $f \in X_j$.

Ginibre and Velo [19] applied Lemma 2.3 and Corollary 2.3 to the bounded operator $T:L^1(I,\mathcal{H})\to\mathcal{H}$, defined by

$$Tf = \int_{I} U(-t)f(t)dt,$$
(18)

where I is an interval of \mathbb{R} (possibly \mathbb{R} itself) and U a unitary strongly continuous one parameter group in \mathcal{H} . Then its adjoint T^* is the operator

$$T^*h(t) = U(t)h$$

from \mathcal{H} to $L^{\infty}(I,\mathcal{H})$, where the duality is defined by the scalar products in \mathcal{H} and in $L^2(I,\mathcal{H})$, such that T^*T is the bounded operator from $L^1(I,\mathcal{H})$ to $L^{\infty}(I,\mathcal{H})$ given by

$$T^*Tf = \int_I U(t-t')f(t')dt'.$$

Clearly the conditions of Lemma 2.3 are satisfied with $X = L^1(I, \mathcal{H})$, the operator T defined in (18), the constant a = 1, and \mathcal{D} any dense subspace of X.

Let us introduce the retarded operator $(T^*T)_R$, defined by

$$(T^*T)_R f(t) = (U_R *_t f)(t) = \int_I U_R(t-t') f(t') dt'$$

where $U_R(t) = \chi_+(t)U(t) := \chi_{[0,\infty)}(t)U(t)$.

We recall that a space X of distributions in space-time is said to be *time cut-off stable* if the multiplication by the characteristic function χ_J , of an interval J in time, is a bounded operator in X with norm uniformly bounded with respect to J. The spaces under our consideration are of the type $X = L_t^q(I,Y)$, where Y is a space of distribution in the space variable and for which that property obviously holds.



Lemma 2.4. Let \mathcal{H} an Hilbert space, let I be an interval of \mathbb{R} , let $X \subset \mathcal{S}'(I \times \mathbb{R}^d)$ be a Banach space, let X be time cut-off stable, and let the conditions of Lemma 2.3 hold for the operator T defined in (18). Then the operator $(T^*T)_R$ is (strictly speaking extends to) a bounded operator from $L^1_t(I,\mathcal{H})$ to X^* and from X to $L^\infty_t(I,\mathcal{H})$.

Proof. We recall the proof for sake of clarity. It is enough to demonstrate the second property, from which the first one follows by duality. Let $f \in D$. Then, for each t

$$\begin{split} \|(T^*T)_R f(t)\|_{\mathcal{H}} &= \|T\chi_+(t-\cdot)f\|_{\mathcal{H}} \leq a \sup_t \{\|\chi_+(t-\cdot)\|_{\mathcal{B}(X)}\} \|f\|_X \\ &\leq Ca\|f\|_X, \end{split}$$

by the unitary of U, the estimate (14) of Lemma 2.3, and the time cut-off stability of X.

3 Fixed Time Estimates

In this section we study estimates for the solution u(t,x) to the Cauchy problem (1), for fixed t. Since multiplication on the Fourier transform side intertwines with convolution on the space side, formula (2) can be rewritten as

$$u(t,x) = (K_t * u_0)(x), \tag{19}$$

where K_t is the inverse Fourier transform of the multiplier $e^{-4\pi^2it|\xi|^2}$, given by

$$K_t(x) = \frac{1}{(4\pi i t)^{d/2}} e^{i|x|^2/(4t)}.$$
 (20)

First, we establish the estimates for Lebesgue spaces. Since $e^{it\Delta}$ is a unitary operator, we obtain the L^2 conservation law

$$\|e^{it\Delta}u_0\|_{L^2(\mathbb{R}^d)} = \|u_0\|_{L^2(\mathbb{R}^d)}. (21)$$

Furthermore, since $K_t \in L^{\infty}$ with $||K_t||_{\infty} = t^{-d/2}$, applying Young inequality to the fundamental solution (19) we obtain the L^1 dispersive estimate

$$\|e^{it\Delta}u_0\|_{L^{\infty}(\mathbb{R}^d)} \lesssim |t|^{-d/2}\|u_0\|_{L^1(\mathbb{R}^d)}.$$
 (22)

This shows that if the initial data u_0 has a suitable integrability in space, then the evolution will have a power-type decay in time. Using the Riesz-Thorin theorem (see, e.g., [35]), we can interpolate (21) and (22) to obtain the important L^p fixed time estimates

$$\|e^{it\Delta}u_0\|_{L^r(\mathbb{R}^d)} \lesssim |t|^{-d(\frac{1}{2}-\frac{1}{r})} \|u_0\|_{L^{r'}(\mathbb{R}^d)}$$
(23)



for all $2 \le r \le \infty$, with 1/r + 1/r' = 1. These estimates represent the complete range of L^p to L^q fixed time estimates available. In this setting, the necessary conditions are usually obtained by scaling conditions (see, for example, [39, Exercise 2.35], and [29] for the interpretation in terms of Gaussian curvature of the characteristic manifold). The following proposition ([50, page 45]) is an example of this technique in the case p = q'.

Proposition 3.1. Let $1 \le r \le \infty$ and $\alpha \in \mathbb{R}$ such that

$$\|e^{it\Delta}u_0\|_{L^r(\mathbb{R}^d)} \le Ct^{\alpha}\|u_0\|_{L^{r'}(\mathbb{R}^d)},$$
 (24)

for all $u_0 \in S(\mathbb{R}^d)$, $t \neq 0$ and some C independent of t and u_0 . Then $\alpha = -d(\frac{1}{2} - \frac{1}{r})$, $r' \leq r$ (and thus $2 \leq r \leq \infty$).

Proof. We can rescale the initial data u_0 by a factor λ and use (24) for

$$v(x) := u_0(\lambda x), \quad \lambda > 0, \quad u_0 \in \mathcal{S}(\mathbb{R}^d).$$

The corresponding solution with v(x) as initial data is $u(\lambda^2 t, \lambda x)$, where $u(t, x) = e^{it\Delta}u_0$. Therefore, by (24) and the scaling property

$$||f(\lambda \cdot)||_r = \lambda^{-d/r} ||f(\cdot)||_r$$

one has

$$\lambda^{-d/r} \| u(\lambda^2 t, \cdot) \|_{L^r(\mathbb{R}^d)} \leq C t^{\alpha} \lambda^{-d/r'} \| u_0 \|_{L^{r'}(\mathbb{R}^d)},$$

for all $\lambda > 0$, $t \neq 0$ and $u_0 \in S(\mathbb{R}^d)$. Choosing $t = \lambda^{-2}$, we obtain

$$||u(1,\cdot)||_{L^r(\mathbb{R}^d)} \le C\lambda^{-2\alpha - \frac{d}{r'} + \frac{d}{r}} ||u_0||_{L^{r'}(\mathbb{R}^d)},$$

for all $\lambda > 0$ and $u_0 \in S(\mathbb{R}^d)$. Since $\|u(1,\cdot)\|_{L^r(\mathbb{R}^d)}$ and $\|u_0\|_{L^{r'}(\mathbb{R}^d)}$ are two positive constants, we have

for
$$\lambda \to \infty$$
, $-2\alpha - \frac{d}{r'} + \frac{d}{r} \ge 0$,
for $\lambda \to 0$, $-2\alpha - \frac{d}{r'} + \frac{d}{r} \le 0$

and then we obtain the necessary condition for α . Moreover, since $e^{it\Delta}$ is invariant under translation, by [23, Theorem 1.1] we obtain $r' \leq r$, i.e., $2 \leq r \leq \infty$. By standard density argument we attain the desired result.

For $s \in \mathbb{R}$, consider the Fourier multiplier $\langle \Delta \rangle^s$, defined by $\langle \Delta \rangle^s f = \mathscr{F}^{-1}(\langle \cdot \rangle^s \hat{f})$. Then, from (23) and the commutativity property of Fourier multipliers, one immediately obtains the $W^{s,r}$ fixed time estimates

$$\|e^{it\Delta}u_0\|_{W^{s,r}(\mathbb{R}^d)} \lesssim |t|^{-d\left(\frac{1}{2} - \frac{1}{r}\right)} \|u_0\|_{W^{s,r'}(\mathbb{R}^d)} \tag{25}$$



for all $s \in \mathbb{R}$, $2 \le r \le \infty$, 1/r + 1/r' = 1. Finally, we note that the conservation law (21) can be rephrased in this setting as the H^s conservation law

$$\|e^{it\Delta}u_0\|_{H^s(\mathbb{R}^d)} = \|u_0\|_{H^s(\mathbb{R}^d)}.$$
 (26)

The Schrödinger propagator does not preserve any $W^{s,r}$ norm other than the H^s norm.

Now, we focus on Wiener amalgam spaces. K_t in (20) lives in $W(\mathscr{F}L^1, L^\infty) \subset L^\infty$, see [1, 6, 44]. This is the finest Wiener amalgam space-norm for K_t which, consequently, gives the worst behavior in the time variable. It is also possible to improve the latter, at the expense of a rougher x-norm, see [8]. Indeed, since $K_t \in W(\mathscr{F}L^p, L^\infty)$ with norm (see [8, Corollary 3.1])

$$||K_t||_{W(\mathscr{F}L^p,L^\infty)} \approx |t|^{-d/p} (1+t^2)^{(d/2)(1/p-1/2)},$$
 (27)

from the fundamental solution (19) and the convolution relations for Wiener amalgam spaces in Lemma 2.1(i), it turns out, for $2 \le q \le \infty$, the $W(\mathcal{F}L^p, L^q)$ dispersive estimates

$$\|e^{it\Delta}u_0\|_{W(\mathcal{F}L^{q'},L^{\infty})} \lesssim |t|^{d(2/q-1)}(1+t^2)^{d(1/4-1/q)}\|u_0\|_{W(\mathcal{F}L^q,L^1)}.$$
 (28)

As well as for Lebesgue spaces, we can use complex interpolation between the dispersive estimates (28) and the L^2 conservation law ($L^2 = W(\mathcal{F}L^2, L^2)$) to obtain the following $W(\mathcal{F}L^p, L^q)$ fixed time estimates, that combine [6, Theorem 3.5] and [8, Theorem 3.3].

Theorem 3.2. For $2 \le q, r, s \le \infty$ such that

$$\frac{1}{s} = \frac{1}{r} + \frac{2}{q} \left(\frac{1}{2} - \frac{1}{r} \right),$$

we have

$$\|e^{it\Delta}u_0\|_{W(\mathscr{Z}I^{s'},I^r)} \lesssim |t|^{d\left(\frac{2}{q}-1\right)\left(1-\frac{2}{r}\right)} (1+t^2)^{d\left(\frac{1}{4}-\frac{1}{q}\right)\left(1-\frac{2}{r}\right)} \|u_0\|_{W(\mathscr{Z}I^{s},I^r)} \tag{29}$$

In particular, for s = 2,

$$\|e^{it\Delta}u_0\|_{W(L^2,L^r)} \lesssim (1+t^2)^{-\frac{d}{2}(\frac{1}{2}-\frac{1}{r})} \|u_0\|_{W(L^2,L^r)},$$
 (30)

and, for s = r,

$$\|e^{it\Delta}u_0\|_{W(\mathscr{F}L^{r'},L^r)} \lesssim (|t|^{-2} + |t|^{-1})^{d(\frac{1}{2} - \frac{1}{r})} \|u_0\|_{W(\mathscr{F}L^r,L^{r'})}.$$
(31)

Proof. Let us sketch the proof for the sake of readers. Estimate (29) follow by complex interpolation between estimate (28), which corresponds to $r = \infty$, and (21), which corresponds to r = 2.

Indeed, $L^2 = W(\mathcal{F}L^2, L^2) = W(L^2, L^2)$. Using Lemma 2.1(iii), with $\theta = 2/r$ (observe that 0 < 2/r < 1), and 1/s' = (1 - 2/r)/q' + (2/r)/2, so that relation (29) holds, we obtain

$$\left[W(\mathscr{F}L^{q'},L^{\infty}),W(\mathscr{F}L^{2},L^{2})\right]_{[\theta]}=W\left([\mathscr{F}L^{q'},\mathscr{F}L^{2}]_{[\theta]},[L^{\infty},L^{2}]_{[\theta]}\right)$$



$$=W(\mathcal{F}L^{s'},L^r)$$

and

$$\begin{split} \big[W(\mathcal{F}L^q, L^1), W(\mathcal{F}L^2, L^2) \big]_{[\theta]} &= W \big([\mathcal{F}L^q, \mathcal{F}L^2]_{[\theta]}, [L^1, L^2]_{[\theta]} \big) \\ &= W(\mathcal{F}L^s, L^{r'}). \end{split}$$

This yields the desired estimate (29).

Let us compare the previous results with the classical L^p estimates. For $2 \le r \le \infty$, $\mathscr{F}L^{r'} \hookrightarrow L^r$, and the inclusion relations for Wiener amalgam spaces (Lemma 2.1 (ii)) yield $W(\mathscr{F}L^{r'},L^r) \hookrightarrow W(L^r,L^r) = L^r$ and $L^{r'} = W(L^{r'},L^{r'}) \hookrightarrow W(\mathscr{F}L^r,L^{r'})$. Thereby the estimate (31) is an improvement of (23) for every fixed time $t \ne 0$, and also uniformly for |t| > c > 0. Moreover, in [8] Cordero and Nicola proved that the range $r \ge 2$ in (31) is sharp, and the same for the decay $t^{-d(\frac{1}{2}-\frac{1}{r})}$ at infinity and the bound $t^{-2d(\frac{1}{2}-\frac{1}{r})}$, when $t \to 0$.

Modulation spaces are new settings inherited by time-frequency analysis where the fixed time estimates recently have been studied, see [1, 2, 44, 45]. Here, instead of using the representation of the solution u(t,x) in (19), the solution is written in the form of Fourier multiplier $e^{it\Delta}u_0$ as in (2), see [1, 2]. Indeed, a sufficient condition for the boundedness of a Fourier multiplier on modulation spaces is that its symbol is in $W(\mathcal{F}L^1, l^\infty)$ ([1, Lemma 8]). Moreover, the Schrödinger symbol $\sigma = e^{-it|\xi|^2}$ lives in $W(\mathcal{F}L^1, l^\infty)$ and its norm is

$$\begin{split} \|\sigma\|_{W(\mathscr{F}L^1,l^\infty)} &= \sup_x \int_{\mathbb{R}^d} |V_g\sigma(x,\omega)| d\omega \\ & \asymp (1+t^2)^{-d/4} \int_{\mathbb{R}^d} e^{-\frac{\pi}{t^2+1}|\omega|^2} d\omega \asymp (1+t^2)^{d/4}, \end{split}$$

where $g(\xi) = e^{-\pi|\xi|^2}$. Then, by [2, Lemma 2] (also for s = 0 [1, Corollary 18]) one has that $e^{it\Delta}$ extends to a bounded operator on $\mathcal{M}_s^{p,q}$, i.e., the $\mathcal{M}_s^{p,q}$ fixed time estimates

$$||u(t,x)||_{\mathcal{M}_{\varepsilon}^{p,q}} \lesssim (1+|t|)^{d/2} ||u_0||_{\mathcal{M}_{\varepsilon}^{p,q}},$$
 (32)

for all $s \ge 0$ and $1 \le p,q \le \infty$. In particular, modulation space properties are preserved by the time evolution of the Schrödinger equation, in strong contrast with the case of Lebesgue spaces. Observe that (32), in the case s = 0, was also obtained using isometric decompositions in [44]. Later, Wang, Zaho, Guo in [45] obtain the following fixed time estimates

$$||u(t,x)||_{\mathcal{M}_{s}^{p,q}} \lesssim (1+|t|)^{-d(1/2-1/p)} ||u_{0}||_{\mathcal{M}_{s}^{p',q}}, \tag{33}$$

for all $s \in \mathbb{R}$, $2 \le p \le \infty$ and $1 \le q \le \infty$. Comparing (23) with (32) and (33), we see that the singularity at t = 0 contained in (23) has been removed in (32) and (33) and the decay rate in (33) when $t = \infty$ is the same one as in (23). The estimate (33) also indicates that $e^{it\Delta}$ is



uniformly bounded on $\mathcal{M}^{2,q}$. The complex interpolation between the case p=2 in (33), and $p=\infty$ in (32) yields

$$||u(t,x)||_{\mathcal{M}_{c}^{p,q}} \lesssim (1+|t|)^{d(1/2-1/p)} ||u_{0}||_{\mathcal{M}_{c}^{p,q}}, \tag{34}$$

for all $2 \le p \le \infty$, $s \ge 0$. However, it is still not clear whether the growth order on time in the right-hand side of (34) is optimal.

4 Strichartz Estimates

In many applications, especially in the study of well-posedness of PDE's, it is useful to have estimates for the solution both in time and space variables. In this direction, the main result is represented by the *Strichartz estimates*. First, let us introduce the following definitions.

Definition 4.1. Following [26], we say that the exponent pair (q,r) is *Schrödinger-admissible* if $d \ge 1$ and

$$2 \leqslant q,r \leqslant \infty, \quad \frac{1}{q} = \frac{d}{2} \left(\frac{1}{2} - \frac{1}{r} \right), \quad (q,r,d) \neq (2,\infty,2).$$

Definition 4.2. Following [18], we say that the exponent pair (q,r) is *Schrödinger-acceptable* if

$$1 \leqslant q < \infty, \quad 2 \leqslant r \leqslant \infty, \quad \frac{1}{q} < d\Big(\frac{1}{2} - \frac{1}{r}\Big), \text{ or } \quad (q,r) = (\infty,2).$$

The original version of Strichartz estimates in L^p spaces, closely related to restriction problem of Fourier transform to surfaces, was elaborated by Robert Strichartz [36] in 1977(who, in turn, had precursors in [31, 41]). In 1995 a brilliant idea of Ginibre and Velo [20] was the use of the T^*T Method (Lemma 2.3) to detach the couple (q,r) from (q',r') (see also [49]). The study of the endpoint case (q,r)=(2,2d/(d-2)) is treated in [26], where Keel and Tao prove the estimate also for the endpoint when $d \geq 3$ (for d=2, the endpoint is $(q,r)=(2,\infty)$ and the estimate is false). We shall give a standard proof of the L^p Stichartz estimates in the nonendpoint cases [10, 50] (see also [39] where the following theorem is proved using an abstract lemma, the Christ-Kiselev Lemma, which is very useful in establishing retarded Strichartz estimates).

Theorem 4.3. For any Schrödinger-admissible couples (q,r) and (\tilde{q},\tilde{r}) one has the homogeneous Strichartz estimates

$$\|e^{it\Delta}u_0\|_{L_t^q L_x^r(\mathbb{R}\times\mathbb{R}^d)} \lesssim \|u_0\|_{L_x^2(\mathbb{R}^d)},$$
 (35)

the dual homogeneous Strichartz estimates

$$\left\| \int_{\mathbb{R}} e^{-is\Delta} F(s,\cdot) ds \right\|_{L_{x}^{2}(\mathbb{R}^{d})} \lesssim \|F\|_{L_{t}^{\bar{q}'}L_{x}^{r'}(\mathbb{R}\times\mathbb{R}^{d})},\tag{36}$$



and the inhomogenous (retarded) Strichartz estimates

$$\left\| \int_{s < t} e^{i(t-s)\Delta} F(s, \cdot) ds \right\|_{L_t^q L_x^r(\mathbb{R} \times \mathbb{R}^d)} \lesssim \|F\|_{L_t^{\tilde{q}'} L_x^{\tilde{r}'}(\mathbb{R} \times \mathbb{R}^d)}. \tag{37}$$

Proof. We shall only prove this theorem in the non-endpoint case, when $q \neq 2$, addressing the interested reader to [26] for the whole study. We use the T^*T method as follows. Let (q,r) be Schrödinger admissible and consider the linear operator $T: L^1_t L^2_x \longrightarrow L^2_x$, defined as

$$T(F) = \int_{\mathbb{R}} e^{-is\Delta} F(s,\cdot) ds.$$

Its adjoint $T^*: L^2_x \longrightarrow L^\infty_t L^2_x$ is the Schrödinger propagator (2)

$$T^*(u) = e^{it\Delta}u$$
.

Applying Minkowski's inequality, the fixed time estimate (23) and (6), we obtain the diagonal untruncated estimates

$$\begin{split} \left\| \int_{\mathbb{R}} e^{i(t-s)\Delta} F(s,\cdot) ds \right\|_{L^q_t L^r_x(\mathbb{R} \times \mathbb{R}^d)} &\leq \left\| \int_{\mathbb{R}} \| e^{i(t-s)\Delta} F(s,\cdot) \|_{L^r_x(\mathbb{R}^d)} ds \right\|_{L^q_t(\mathbb{R})} \\ &\lesssim \left\| \| F \|_{L^{r'}_x(\mathbb{R}^d)} * \frac{1}{|t|^{d(\frac{1}{2} - \frac{1}{t})}} \right\|_{L^q_t(\mathbb{R})} \lesssim \| F \|_{L^{q'}_t L^{r'}_x(\mathbb{R} \times \mathbb{R}^d)}, \end{split}$$

whenever $2 < q, r \le \infty$ are such that $\frac{2}{q} + \frac{d}{r} = \frac{d}{2}$, and for any Schwartz function $F \in \mathcal{S}(\mathbb{R} \times \mathbb{R}^d)$. Then, using Lemma 2.3, one obtains the homogeneous Strichartz estimates (35) and the corresponding dual homogeneous Strichartz estimates (36). Corollary 2.3 applied to the previous two estimates yields the non-diagonal untruncate estimates:

$$\left\| \int_{\mathbb{R}} e^{i(t-s)\Delta} F(s,\cdot) ds \right\|_{L_t^q L_x^r(\mathbb{R} \times \mathbb{R}^d)} \leq \|F\|_{L_t^{\tilde{q}'} L_x^{\tilde{r}'}(\mathbb{R} \times \mathbb{R}^d)}.$$

By untruncated diagonal estimates one obtains the diagonal ones for the truncated operator, noting that

$$\begin{split} \left\| \int_{-\infty}^t e^{i(t-s)\Delta} F(s,\cdot) ds \right\|_{L^q_t L^r_x(\mathbb{R} \times \mathbb{R}^d)} &\leq \left\| \int_{-\infty}^t \| e^{i(t-s)\Delta} F(s,\cdot) \|_{L^r_x(\mathbb{R}^d)} ds \right\|_{L^q_t(\mathbb{R})} \\ &\leq \left\| \int_{\mathbb{R}} \| e^{i(t-s)\Delta} F(s,\cdot) \|_{L^r_x(\mathbb{R}^d)} ds \right\|_{L^q_t(\mathbb{R})} \lesssim \| F \|_{L^{q'}_t L^{r'}_x(\mathbb{R} \times \mathbb{R}^d)}. \end{split}$$

Moreover, using Lemma 2.4, with $X = L_t^{q'} L_x^{r'}$ and the truncated operator $(T^*T)_R F(t) = \int_0^t e^{i(t-s)\Delta} F(s) ds$, one obtains

$$\left\| \int_0^t e^{i(t-s)\Delta} F(s,\cdot) ds \right\|_{L_t^{\infty} L_x^2(\mathbb{R} \times \mathbb{R}^d)} \lesssim \|F\|_{L_t^{q'} L_x^{r'}}, \tag{38}$$

for all admissible pairs (q,r). Then, by complex interpolation between this estimate and the diagonal truncated ones above one gets the non-diagonal truncate estimates (37), for any couple (q,r), (\tilde{q},\tilde{r}) Schrödinger admissible.



The estimates are known to fail at the endpoint $(q,r,d)=(2,\infty,2)$, see [28], where Smith constructed a counterexample using the Brownian motion, although the homogeneous estimates can be saved assuming spherical symmetry [27, 32, 38]. The exponents in the homogeneous estimates are optimal ([39, Exercise 2.42]); some additional estimates are instead available in the inhomogeneous case (see, for example, [30]). Indeed, Kato [25] proved that inhomogeneous estimates (37) hold true when the pairs (q,r) and (\tilde{q},\tilde{r}) are Schrödinger acceptable and satisfy the scaling condition $1/q+1/\tilde{q}=d/2(1-1/r-1/\tilde{r})$ in the range $1/r,1/\tilde{r}>(d-2)/(2d)$. Afterwards, for d>2, Foschi [18] improved this result by looking for the optimal range of Lebesgue exponents for which inhomogeneous Strichartz estimates hold (results almost equivalent have recently obtained by Vilela [43]). Actually, this range is larger than the one given by admissible exponents for homogeneous estimates, as was shown by the following result [18, Proposition 24].

Proposition 4.4. If v is the solution to (3), with zero initial data and inhomogeneous term F supported on $\mathbb{R} \times \mathbb{R}^d$, then we have the estimate

$$||v||_{L_t^q L_x^r(\mathbb{R} \times \mathbb{R}^d)} \lesssim ||F||_{L_t^{\tilde{q}'} L_x^{\tilde{r}'}(\mathbb{R} \times \mathbb{R}^d)}$$

$$\tag{39}$$

whenever $(q,r), (\tilde{q},\tilde{r})$ are Schrödinger acceptable pairs which satisfy the scaling condition

$$\frac{1}{q} + \frac{1}{\tilde{q}} = \frac{d}{2} \left(1 - \frac{1}{r} - \frac{1}{\tilde{r}} \right),$$

and either the conditions

$$\frac{1}{q} + \frac{1}{\tilde{q}} < 1, \quad \frac{d-2}{r} \le \frac{d}{\tilde{r}}, \quad \frac{d-2}{\tilde{r}} \le \frac{d}{r}$$

or the conditions

$$\frac{1}{q} + \frac{1}{\tilde{q}} = 1, \quad \frac{d-2}{r} < \frac{d}{\tilde{r}}, \quad \frac{d-2}{\tilde{r}} < \frac{d}{r}, \quad \frac{1}{r} \leqslant \frac{1}{q}, \quad \frac{1}{\tilde{r}} \leqslant \frac{1}{\tilde{q}}.$$

For a discussion about the sharpness of this proposition we refer to [18], where explicit counterexamples are constructed to show the necessary conditions for inhomogeneous Strichartz estimates.

Since the Schrödinger operator $e^{it\Delta}$ commutes with Fourier multipliers like $|\Delta|^s$ or $\langle \Delta \rangle^s$, it is easy to obtain Strichartz estimates for potential and Sobolev spaces. In particular, if I is an interval containing the origin and $u: I \times \mathbb{R}^d \to \mathbb{C}$ is the solution to the inhomogeneous Schrödinger equation with initial data $u_0 \in \dot{H}^s_x(\mathbb{R}^d)$, given by the Duhamel formula (4), then, applying $|\Delta|^s$ to both sides of the equation and using the estimate of Theorem 4.3, one obtains

$$\|u\|_{L^q_t\dot{W}^{s,r}_x(I\times\mathbb{R}^d)} \lesssim \|u_0\|_{\dot{H}^s_x(\mathbb{R}^d)} + \|F\|_{L^{\tilde{q}'}_t\dot{W}^{s,\tilde{r}'}_v(I\times\mathbb{R}^d)}$$



for all Schrödinger admissible couples (q,r) and (\tilde{q},\tilde{r}) . In particular, if one considers the homogeneous case (i.e. F=0), the Sobolev embedding $\dot{W}_x^{s,r} \hookrightarrow L_x^{r_1}, 0 < s < d/2$ and $1/r_1 = 1/r - s/d$, yields the \dot{H}^s Stichartz estimates

$$\|u\|_{L^q_t L^{r_1}_x(I \times \mathbb{R}^d)} \lesssim \|u_0\|_{\dot{H}^s_x(\mathbb{R}^d)}, \quad \frac{2}{q} + \frac{d}{r_1} + s = \frac{d}{2}.$$

Since s > 0 one has

$$\frac{2}{q} + \frac{n}{r_1} < \frac{n}{2},$$

hence, for any fixed value of s, the new Schrödinger admissible couple (q, r_1) lies on a parallel line below the corresponding case s = 0.

Strichartz estimates in Wiener amalgam spaces enable us to control the local regularity and decay at infinity of the solution *separately*. For comparison, the classical estimates (35) can be rephrased in terms of Wiener amalgam spaces as follows:

$$\|e^{it\Delta}u_0\|_{W(L^q,L^q)_tW(L^r,L^r)_x} \lesssim \|u_0\|_{L^2_x}. (40)$$

In this framework, Cordero and Nicola perform these estimates mainly in two directions. First, in [6], for $q \ge 4$ they modify the classical estimate (40) by (conveniently) moving local regularity from the time variable to the space variable. Indeed, $\mathscr{F}L^{r'} \subset L^r$ if $r \ge 2$, but the bound in (31) is worse than the one in (23), as $t \to 0$; consequently one has

$$\|e^{it\Delta}u_0\|_{W(L^{q/2}L^q)_tW(\mathscr{F}L^{r'}L^r)} \lesssim \|u_0\|_{L^2_x},\tag{41}$$

for $4 < q \le \infty, 2 \le r \le \infty$, with (q,r) Schrödinger admissible. When q=4 the same estimates holds with the Lorentz space $L^{r',2}$ in place of $L^{r'}$. Dual homogeneous and retarded estimates hold as well. Thereby, the solution averages locally in time by the $L^{q/2}$ norm, which is rougher than the L^q norm in (35) or, equivalently, in (40), but it displays an $\mathscr{F}L^{r'}$ behavior locally in space, which is better than L^r . In [8] it is shown the sharpness of these Strichartz estimates, except for the threshold $q \ge 4$, which seems quite hard to obtain. Secondly, in [8], a converse approach is performed, by showing that it is possible to move local regularity in (35) from the space variable to the time variable. As a result, new estimates involving the Wiener amalgam spaces $W(L^p, L^q)$, that generalize (35), are obtained, i.e., the following [8, Theorem 1.1].

Theorem 4.5. Let $1 \le q_1, r_1 \le \infty$, $2 \le q_2, r_2 \le \infty$ such that $r_1 \le r_2$,

$$\frac{2}{q_1} + \frac{d}{r_1} \ge \frac{d}{2},\tag{42}$$

$$\frac{2}{q_2} + \frac{d}{r_2} \le \frac{d}{2},\tag{43}$$



 $(r_1,d) \neq (\infty,2), (r_2,d) \neq (\infty,2)$ and, if $d \geq 3$, $r_1 \leq 2d/(d-2)$. Assume the same for $\tilde{q}_1,\tilde{q}_2,\tilde{r}_1,\tilde{r}_2$. Then, we have the homogeneous Strichartz estimates

$$\|e^{it\Delta}u_0\|_{W(L^{q_1},L^{q_2})_tW(L^{r_1},L^{r_2})_x} \lesssim \|u_0\|_{L^2_x},\tag{44}$$

the dual homogeneous Strichartz estimates

$$\| \int e^{-is\Delta} F(s) ds \|_{L^2} \lesssim \| F \|_{W(L^{\tilde{q}'_1}, L^{\tilde{q}'_2})_t W(L^{\tilde{r}'_1}, L^{\tilde{r}'_2})_x}, \tag{45}$$

and the retarded Strichartz estimates

$$\|\int_{s< t} e^{i(t-s)\Delta} F(s) ds\|_{W(L^{q_1}, L^{q_2})_t W(L^{r_1}, L^{r_2})_x} \lesssim \|F\|_{W(L^{\tilde{q}'_1}, L^{\tilde{q}'_2})_t W(L^{\tilde{r}'_1}, L^{\tilde{r}'_2})_x}. \tag{46}$$

This outcome is achieved by first establishing the estimates for the particular case $q_1 = \tilde{q_1} = \infty$, $r_1 = \tilde{r}_1 = 2$, and then by complex interpolation with the classical ones (35).

Figure 1 illustrates the range of exponents for the homogeneous estimates when $d \ge 3$. Notice that, if $q_1 \le q_2$, these estimates follow immediately from (40) and the inclusion relations of Wiener amalgam spaces. So, the issue consists in the cases $q_1 > q_2$. Since there are no relations between the pairs (q_1, r_1) and (q_2, r_2) other than $r_1 \le r_2$, these estimates tell us, in a sense, that the analysis of the local regularity of the Schrödinger propagator is quite independent of its decay at infinity.

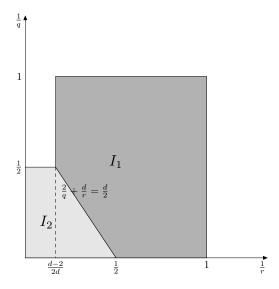


Figure 1: When $d \ge 3$, (44) holds for all pairs $(1/q_1, 1/r_1) \in I_1$, $(1/q_2, 1/r_2) \in I_2$, with $1/r_2 \le 1/r_1$.



In [8] it is proved that, for $d \ge 3$, all the constraints on the range of exponents in Theorem 4.5 are necessary, except for $r_1 \le r_2$, $r_1 \le 2d/(d-2)$, which is still left open. However, the following weaker result holds [8, Proposition 5.3]:

Assume $r_1 > r_2$ and $t \neq 0$. Then the propagator $e^{it\Delta}$ does not map $W(L^{r_1'}, L^{r_2'})$ continuously into $W(L^{r_1}, L^{r_2})$.

shows that the estimates (44) for exponents $r_1 > r_2$, if true, cannot be obtained from fixed-time estimates and orthogonality arguments. The arguments employed for the necessary conditions differ from the classical setting of Lebesgue spaces, because the general scaling consideration does not work directly. Indeed, the known bounds for the norm of the dilation operator $f(x) \longrightarrow f(\lambda x)$ between Wiener amalgam spaces ([37, 40]), yield constraints which are weaker than the desired ones. So, the necessary conditions are obtained considering families of rescaled Gaussians as initial data, for which the action of the operator $e^{it\Delta}$ and the involved norms can be computed explicitly, see [8].

We end up this section with recalling Stricharz estimates for modulation spaces. The main result in this framework is due to Wang and Hudzik [45]. They use the same arguments as in Keel and Tao [26], who point out that the ranges of exponents (q,r) in (23) could most likely be not optimal. In fact, Keel and Tao show that if the semigroup $e^{it\Delta}$ satisfies the estimate

$$||e^{it\Delta}u_0||_{L^p} \lesssim (1+|t|)^{-d(1/2-1/p)}||u_0||_{T^{p'}}$$
(47)

then (35), (36) and (37) hold if one substitutes q and \tilde{q} by any $\gamma \ge \max(q,2)$ and $\tilde{\gamma} \ge \max(\tilde{q},2)$, respectively. Since the estimate (34) is similar to (47), they optimize (35), (36) and (37) in the function spaces $M_s^{p,q}$ to cover the exponents (γ,q) and $(\tilde{\gamma},\tilde{q})$ satisfying $\gamma \ge \max(q,2)$ and $\tilde{\gamma} \ge \max(\tilde{q},2)$. Since the precise formulation of these results requires the introduction of other function spaces, we refer interested readers to [45, Section 3].

5 Applications

We start by focusing on the Cauchy problem for the nonlinear Schrödinger equation (NLS)

$$\begin{cases} i\partial_t u + \Delta u + N(u) = 0\\ u(0, x) = u_0(x). \end{cases}$$
(48)

The nonlinearity N considered will be either power-like

$$p_k(u) = \lambda |u|^{2k} u, \quad k \in \mathbb{N}, \lambda \in \mathbb{R}$$

or exponential-like

$$e_{\rho}(u) = \lambda (e^{\rho|u|^2} - 1)u, \quad \lambda, \rho \in \mathbb{R}.$$



Both nonlinearities are smooth. The corresponding equations having power-like nonlinearities p_k are sometimes referred to as algebraic nonlinear Schrödinger equations. The sign of the coefficient λ determines the defocusing, absent, or focusing character of the nonlinearity.

We shall study the well-posedness of (48), in different spaces. Recall that the problem (48) is locally well-posed in e.g. $H^s_x(\mathbb{R}^d)$ if, for any $u^*_0 \in H^s_x(\mathbb{R}^d)$, there exists a time T>0 and an open ball B in $H^s_x(\mathbb{R}^d)$ containing u^*_0 and a subset of $C^0_tH^s_x([T,T]\times\mathbb{R}^d)$ such that for each $u_0\in B$ there exists a unique solution $u\in X$ to the equation (48) and the map $u_0\mapsto u$ is continuous from B (with the H^s_x topology) to X (with the $C^0_tH^s_x([T,T]\times\mathbb{R}^d)$ topology).

A fundamental tool in well-posedness theory is the *contraction theorem*. Let us first work abstractly, viewing (48) as an instance of the more general

$$u = u_{\rm lin} + DN(u) \tag{49}$$

where $u_{\text{lin}} := e^{it\Delta}u_0$ is the linear solution, N is the nonlinearity and D is the Duhamel operator

$$DF(t,x) := \int_0^t e^{i(t-s)\Delta} F(s,\cdot) ds.$$

The following abstract tool [39, Proposition 1.38] then allows us to find the desired contraction map.

Proposition 5.1 (Abstract iteration argument). Let \mathcal{N}, \mathcal{T} be two Banach spaces. Let $D: \mathcal{N} \to \mathcal{T}$ be a bounded linear operator with the bound

$$||DF||_{\mathcal{T}} \le C_0 ||F||_{\mathcal{N}} \tag{50}$$

for all $F \in \mathcal{N}$ and some constant $C_0 > 0$, and let $N : \mathcal{S} \to \mathcal{N}$, with N(0) = 0, be a nonlinear operator which is Lipschitz continuous and obeys the bounds

$$||N(u) - N(v)||_{\mathcal{N}} \le \frac{1}{2C_0}||u - v||_{\mathcal{T}}$$

$$\tag{51}$$

for all u,v in the ball $B_{\varepsilon} := \{u \in \mathscr{S} : ||u||_{\mathscr{T}} \le \varepsilon\}$, for some $\varepsilon > 0$. Then, for all $u_{\text{lin}} \in B_{\varepsilon/2}$, there exists a unique solution $u \in B_{\varepsilon}$ to the equation (49), with Lipschitz map $u_{\text{lin}} \mapsto u$ with constant at most 2. That is, we have

$$||u||_{\mathcal{T}} \le 2||u_{\text{lin}}||_{\mathcal{T}} \tag{52}$$

Proof. Observe that for v = 0 the estimate (51) becomes

$$||N(u)||_{\mathcal{N}} \le \frac{1}{2C_0}||u||_{\mathcal{T}} \tag{53}$$

(since N(0) = 0 by hypothesis). Then, fix $u_{\text{lin}} \in B_{\epsilon/2}$, and consider the map

$$\phi(u) := u_{\text{lin}} + DN(u).$$



Using (50) and (53) one has

$$||\phi(u)||_{\mathcal{T}} = ||u_{\text{lin}} + DN(u)||_{\mathcal{T}} \le \frac{\epsilon}{2} + \frac{C_0}{2C_0}\epsilon = \epsilon$$

for all $u \in B_{\epsilon}$, i.e., ϕ maps the ball B_{ϵ} into B_{ϵ} . Moreover, ϕ is a contraction on B_{ϵ} , indeed by (50) and (51) one has

$$\begin{split} ||\phi(u)-\phi(v)||_{\mathcal{T}} &= ||DN(u)-DN(v)||_{\mathcal{T}} \leq C_0 ||N(u)-N(v)||_{\mathcal{N}} \\ &\leq C_0 \frac{1}{2C_0} ||u-v||_{\mathcal{T}} = \frac{1}{2} ||u-v||_{\mathcal{T}}, \end{split}$$

for all $u, v \in B_{\epsilon}$. Then, the contraction theorem asserts that there exists a unique fixed point u for ϕ and moreover the map $u_{\text{lin}} \mapsto u$ is Lipschitz with constant at most 2, that is (52).

Proposition 5.1 is the main ingredient of the results in [1, 7, 8, 9, 39, 45].

First, consider the NLS (48) with $N=p_k$, with the initial data u_0 in the Sobolev space $H^s_x(\mathbb{R}^d)$. To study this Cauchy problem it is convenient to introduce a single space S^s that recaptures all the Strichartz norms at a certain regularity $H^s_x(\mathbb{R}^d)$ simultaneously. For sake of simplicity, we reduce to the case s=0 which corresponds to the case L^2_x , introducing the Strichartz space $S^0(I \times \mathbb{R}^d)$, for any time interval I, defined as the closure of Schwartz class $\mathscr S$ with respect to the norm

$$||u||_{\mathscr{S}^0(I\times\mathbb{R}^d)}:=\sup_A||u||_{L^q_tL^r_x(I\times\mathbb{R}^d)},$$

where the set A is given by $A := \{(\infty,2),(q,r)\}$, with (q,r) Schrödinger admissible. We define also the space $N^0(I \times \mathbb{R}^d) := L_t^{q'} L_x^{r'}$. Then, using Proposition 5.1 and the L^p Strichartz estimates of Theorem 4.3 one can prove the following [39, Proposition 3.15]

Theorem 5.2 (L_x^2 subcritical solution). Let k be subcritical for L_x^2 (that is, $0 < k < \frac{2}{d}$) and let $\mu = \pm 1$. Then the NLS (48) is locally well-posed in L_x^2 in a subcritical sense. Indeed, for any R > 0 there exists a time T > 0 such that for all u_0 in the ball $B_R := \{u_0 \in L_x^2(\mathbb{R}^d) : ||u_0||_{L_x^2} < R\}$ there exists a unique solution u in L_x^2 of (48) in the space $\mathscr{S}^0([-T,T] \times \mathbb{R}^d) \subset C_t^0 L_x^2([-T,T] \times \mathbb{R}^d)$. Moreover, the map $u_0 \mapsto u$, from B_R to $\mathscr{S}^0([-T,T] \times \mathbb{R}^d)$, is Lipschitz continuous.

For results in the framework of modulation spaces we address to [2, 44, 45]. In particular, we examine [2]. The main result, obtained only with the $M_s^{p,q}$ dispersive estimates (32), is the following.

Theorem 5.3. Assume that $u_0 \in M_s^{p,1}(\mathbb{R}^d)$ and $N \in \{p_k, e_\rho\}$. Then, there exists $T = T(\|u_0\|_{M_s^{p,1}})$ such that (48) has a unique solution $u \in C^0M_s^{p,1}([0,T] \times \mathbb{R}^d)$. Moreover, if $T < \infty$, then $\limsup_{t \to T} \|u(t,\cdot)\| = \infty$.



Proof. The proof is simply an application of the abstract iteration argument. Let us write it for the nonlinearity $N=p_k$. We choose the spaces $\mathcal{T}:=C^0M_s^{p,1}([0,T]\times\mathbb{R}), \mathcal{N}:=M_s^{p,1}$, and the Duhamel operator

$$D := \int_0^t e^{i(t-s)\Delta} \cdot ds.$$

Then, it is sufficient to prove (50) and (51) in this setting. Then, by the Minkowsky integral inequality, $M_s^{p,q}$ dispersive estimates (32) and [2, Corollary 2] one has

$$\begin{split} \left\| \int_0^t e^{i(t-\tau)\Delta}(p_k(u))(\tau)d\tau \right\|_{M^{p,1}_s} & \leq \int_0^t \|e^{i(t-\tau)\Delta}(p_k(u))(\tau)\|_{M^{p,1}_s}d\tau \\ & \leq c_1 T C_T \sup_{t \in [0,T]} \|p_k(u)(t)\|_{M^{p,1}_s} \\ & \leq c_1 c_2 C_T T \|u(t)\|_{M^{p,1}_s}^{2k+1} \end{split}$$

where $C_T = \sup_{t \in [0,T)} (1+|t|)^{d/2}$. Choosing T > 0 such that $c_1 c_2 C_T T \le C_0$, it follows (50) and by

$$p_k(u)(\tau) - p_k(v)(\tau) = \lambda(u - v)|u|^{2k}(\tau) + \lambda v(|u|^{2k} - |v|^{2k})(\tau),$$

it follows (51).
$$\Box$$

For Wiener amalgam spaces there are no results for the NLS. In [8] there is a result concerning linear Schrödinger equations with time-dependent potentials. Indeed, in [8] the well-posedness is proved in L^2 of the following Cauchy problem, for all $d \ge 1$,

$$\begin{cases} i\partial_t u + \Delta u = V(t, x)u, & t \in [0, T] = I_T, x \in \mathbb{R}^d, \\ u(0, x) = u_0(x), \end{cases}$$
(54)

and for the class of potentials

$$V \in L^{\alpha}(I_T; W(\mathcal{F}L^{p'}, L^p)_x), \quad \frac{1}{\alpha} + \frac{d}{p} \le 1, \ 1 \le \alpha < \infty. \ d < p \le \infty.$$
 (55)

Theorem 5.4. Consider the class of potentials (55). Then, for all (q,r) such that 2/q+d/r=d/2, $q>4, r\geq 2$, the Cauchy problem (54) has a unique solution

$$\begin{split} (i) \ u &\in \mathcal{C}(I_T; L^2(\mathbb{R})) \cap L^{q/2}(I_T; W(\mathcal{F}L^{r'}, L^r)), \ if \ d = 1; \\ (ii) \ u &\in \mathcal{C}(I_T; L^2(\mathbb{R}^d)) \cap L^{q/2}(I_T; W(\mathcal{F}L^{r'}, L^r)) \cap \\ &\quad \cap L^2(I_T; W(\mathcal{F}L^{2d/(d+1),2}, L^{2d/(d-1)})), if \ d > 1. \end{split}$$

Proof. It is enough to prove the case d=1. Indeed, for $d \ge 2$, condition (55) implies p > 2, so that $\mathscr{F}L^{p'} \hookrightarrow L^p$ and the inclusion relations of Wiener amalgam spaces yield $W(\mathscr{F}L^{p'}, L^p) \hookrightarrow$



 $W(L^p, L^p) = L^p$. Hence our class of potentials is a subclass of those of [6, Theorem 6.1], for which the quoted theorem provides the desired result.

We now turn to the case d = 1. The proof follows the ones of [9, Theorem 1.1, Remark 1.3] and [6, Theorem 6.1] (see also [49]).

First of all, since the interval I_T is bounded, by Hölder's inequality and by taking p large, we may assume $1/\alpha + d/p = 1$.

We choose a small time interval $J = [0, \delta]$ and set, for $q \ge 2$, $q \ne 4$, $r \ge 1$,

$$Z_{q/2,r} = L^{q/2}(J; W(\mathcal{F}L^{r'}, L^r)_x).$$

Now, fix an admissible pair (q_0,r_0) with r_0 arbitrarily large (hence $(1/q_0,1/r_0)$ is arbitrarily close to (1/4,0)) and set $Z=\mathscr{C}(J;L^2)\cap Z_{q_0/2,r_0}$, with the norm $\|v\|_Z=\max\{\|v\|_{\mathscr{C}(J;L^2)},\|v\|_{Z_{q_0/2,r_0}}\}$. We have $Z\subset Z_{q/2,r}$ for all admissible pairs (q,r) obtained by interpolation between $(\infty,2)$ and (q_0,r_0) . Hence, by the arbitrary of (q_0,r_0) it suffices to prove that Φ defines a contraction in Z.

Consider now the integral formulation of the Cauchy problem, namely $u = \Phi(v)$, where

$$\Phi(v) = e^{it\Delta}u_0 + \int_0^t e^{i(t-s)\Delta}V(s)v(s)ds.$$

By the homogeneous and retarded Strichartz estimates in [6, Theorems 1.1, 1.2] the following inequalities hold:

$$\|\Phi(v)\|_{Z_{q/2,r}} \le C_0 \|u_0\|_{L^2} + C_0 \|Vv\|_{Z_{(\tilde{g}/2)',\tilde{r}'}},\tag{56}$$

for all admissible pairs (q,r) and $(\tilde{q},\tilde{r}), q > 4, \tilde{q} > 4$.

Consider now the case $1 \le \alpha < 2$. We choose $((\tilde{q}/2)', \tilde{r}) = (\alpha, 2p/(p+2))$. Since $v \in L^{\infty}(J; L^2)$, applying (13) for q = 2 we get

$$\|Vv\|_{W(\mathscr{F}L^{\tilde{r}},L^{\tilde{r}'})} \lesssim \|V\|_{W(\mathscr{F}L^{p'},L^p)} \|v\|_{L^2},$$

whereas Hölder's Inequality in the time-variable gives

$$\|Vv\|_{Z_{(\tilde{\alpha}/2)'\tilde{r}'}} \lesssim \|V\|_{L^{\alpha}(J:W(\mathscr{F}L^{p'}L^p))} \|v\|_{L^{\infty}(J:L^2)}.$$

The estimate (56) then becomes

$$\|\Phi(v)\|_{Z_{q/2,r}} \le C_0 \|u_0\|_{L^2} + C_0 \|V\|_{L^{\alpha}(J;W(\mathscr{F}L^{p'},L^p))} \|v\|_{L^{\infty}(J;L^2)}. \tag{57}$$

By taking $(q,r) = (\infty,2)$ or $(q,r) = (q_0,r_0)$ one deduces that $\Phi: Z \to Z$ (the fact that $\Phi(u)$ is continuous in t when valued in L^2_x follows from a classical limiting argument [9, Theorem 1.1, Remark 1.3]). Also, if J is small enough, $C_0 \|V\|_{L^q_x L^p_x} < 1/2$, and Φ is a contraction. This gives



a unique solution in J. By iterating this argument a finite number of times one obtains a solution in [0,T].

The case $2 \le \alpha < \infty$ is similar.

This result generalizes [6, Theorem 6.1], by treating the one dimensional case as well and allowing the potentials to belong to Wiener amalgam spaces with respect to the space variable x. Other results on Schrödinger equations with potentials in $L_t^p L_x^q$ can be found in [9].

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